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2662  
RS  
#4  
3-26-02

March 21, 2002

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RECEIVED

MAR 26 2002

Technology Center 2600

Commissioner for Patents  
Washington, D.C. 20231

Re: U.S. Utility Patent Application  
Appl. No. 09/527,915; Filed: March 17, 2000  
For: **Method, System, and Computer Program Product for Managing  
Database Servers and Services**  
Inventors: Scott *et al.*  
Our Ref: 1848.0060001

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Information Disclosure Statement;
2. Copy of the International Preliminary Examination Report in related PCT Application No. PCT/US00/00009;
3. Copy of the International Preliminary Examination Report in related PCT Application No. PCT/US00/02330;
4. Three (3) sheets of Form PTO-1449 listing twenty-nine (29) reference documents;
5. One (1) copy each of referenced documents AA1-AK1, AL1-AO1, AR1-AT1, AA2-AE2, AR2-AT2, and AR3-AT3; and
6. One (1) return postcard.

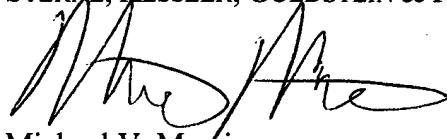
Commissioner for Patents  
March 21, 2002  
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Michael V. Messinger  
Attorney for Applicant  
Registration No. 37,575

MVM/RDL:mlb  
Enclosures

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Scott *et al.*

Appl. No. 09/527,915

Filed: March 17, 2000

For: **Method, System, and Computer  
Program Product for Managing  
Database Servers and Services**

Art Unit: 2662

Examiner: Ho, Duc

Atty. Docket: 1848.0060001

**Information Disclosure Statement**

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Commissioner for Patents  
Washington, D.C. 20231

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Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AA1, AL1 and AN1 were cited in an International Preliminary Examination Report in related PCT Application No. PCT/US00/00009, filed January 10, 2000, entitled "System for Managing Router Servers and Services," directed to related subject matter. A copy of the IPER is enclosed for the convenience of the Examiner.

Documents AD1 and AR1 were cited in an International Preliminary Examination Report in related PCT Application No. PCT/US00/02330, filed February 1, 2000, entitled "Method, System and Computer Program Product For Managing Jitter," directed to related subject matter. A copy of the IPER is enclosed for the convenience of the Examiner.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below.

- 1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.
- 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.
- a. I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not

more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

- b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- c. Attached is our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p).

□ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Enclosed find our Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- a. I hereby state that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- b. I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

□ 4. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found

by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

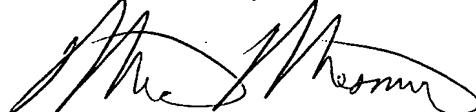
- 5. A concise explanation of the relevance of the non-English language document(s) appears below:
- 6. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

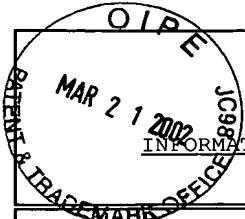
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Registration No. 37,575

Date: March 21, 2002

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FORM PTO-1449

## INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.  
1848.0060001APPLICATION NO.  
09/527, 915APPLICANT  
Scott et al.FILING DATE  
March 17, 2000GROUP  
2662

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA1	5,621,727	04/1997	Vaudreuil	370	60	
	AB1	5,742,596	04/1998	Baratz et al.	370	356	
	AC1	5,757,871	05/1998	Furukawa et al.	375	372	
	AD1	5,790,538	08/1998	Sugar	370	352	
	AE1	5,790,543	08/1998	Cloutier	370	395	
	AF1	5,805,602	09/1998	Cloutier et al.	370	516	
	AG1	5,812,840	09/1998	Shwartz	395	604	
	AH1	5,870,464	02/1999	Brewster et al.	379	219	
	AI1	5,892,822	04/1999	Gottlieb et al.	379	220	
	AJ1	5,897,613	04/1999	Chan	704	210	
	AK1	5,900,000	05/1999	Korenshtien	707	200	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL1	WO 97/14238 A1	04/1997	PCT	RECEIVED	H04L	12/46
	AM1	WO 97/23078 A1	06/1997	PCT	MAR 26 2002	H04L	12/56
	AN1	WO 97/27692 A1	07/1997	PCT	Technology Center 2600	H04L	12/56
	AO1	WO 97/28628 A1	08/1997	PCT		H04L	12/56
	AP1						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	1	Anquetil, L-P. et al., "Media Gateway Control Protocol And Voice Over IP Gateways," Alcatel Telecommunications Review, pp. 151-157 (April-June 1999).
AS	1	Array Series 3000 Users Manual, Array Telecom Corp, Entire Manual (August 27, 1999).
AT	1	ctvoice IP Telephony, Product Brochure, Comdial, 6 Pages (Copy obtained August 1999).

EXAMINER DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT U.S. PATENT AND TRADEMARK OFFICE			ATTY. DOCKET NO. 1848.0060001	APPLICATION NO. 09/527,915
			APPLICANT Scott et al.	
			FILING DATE March 17, 2000	GROUP 2662

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
AB2	5,940,479	08/1999	Guy et al.	379	93.01	
AB2	5,940,827	08/1999	Hapner et al.	707	8	
AC2	5,940,829	08/1999	Tsuiki et al.	707	10	
AD2	5,940,832	08/1999	Hamada et al.	707	100	
AE2	5,953,405	09/1999	Miloslavsky	379	265	
AF2						
AG2						
AH2						
AI2						
AJ2						
AK2						

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
AL2						Yes No
AM2						Yes No
AN2						Yes No
AO2						Yes No
AP2						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	2	ctvoice System User's Manual, Comdial, Entire Manual (June 1998).
AS	2	Held, G., Voice Over Data Networks, McGraw-Hill, Entire Book (1998).
AT	2	McConnell, B., How to Build an Internet PBX AHMED BORG, at <a href="http://www.google.com...">http://www.google.com...</a> , 13 pages, (April 1997).

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT</b>		ATTY. DOCKET NO. 1848.0060001		APPLICATION NO. 09/527, 915	
		APPLICANT Scott et al.			
		FILING DATE March 17, 2000		GROUP 2662	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	MAR 2 2002	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA3						
	AB						
	AC3						
	AD3						
	AE3						
	AF3						
	AG3						
	AH3						
	AI3						
	AJ3						
	AK3						

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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

## OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	3	Prosise, J., "Programming Windows 95 with MFC, Part VII: The Document/View Architecture," <i>Microsoft Systems Journal</i> , Miller Freeman, 35 pages (February 1996).
AS	3	Sheldon, T., <i>Encyclopedia of Networking</i> , Electronic Edition, McGraw-Hill, pp. 1043-1050 (1998).
AT	3	Yang, C., <i>INETPhone: Telephone Services and Servers on Internet</i> , at <a href="http://www.ds.internic.net/rfc/rfc1789.txt">http://www.ds.internic.net/rfc/rfc1789.txt</a> , 6 pages, (April 1995).

EXAMINER DATE CONSIDERED

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.